



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q63282

Hikaru KOUTA, et al.

Appln. No.: 09/788,621

Group Art Unit: 2874

Confirmation No.: 4578

Examiner: Unknown

Filed: February 21, 2001

For: METHOD FOR MODIFYING REFRACTIVE INDEX IN OPTICAL WAVEGUIDE DEVICE

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

1. Y. Kondo et al., "Proceedings of the Japanese Society of Applied Science General Meeting No. 46 Spring 1999, 3 Vols. (published March 28, 1999), pp. 1238.
2. Y. Kondo et al., "Fabrication of long-period fiber gratings by focused irradiation of infrared femtosecond laser pulses", Optics Letters, Vol. 24, No. 10, May 15, 1999.
3. Japanese Patent Application Publication No. 2000-33263, published February 2, 2000.
4. Japanese Patent Application Publication No. 4-298702, published October 22, 1992.
5. Japanese Patent Application Publication No. 7-294756, published November 10, 1995.

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INFORMATION DISCLOSURE STATEMENT

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding Japanese Office Action dated October 28, 2002 and an English translation of the pertinent portions thereof, which cites and indicates the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

J. Osha

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Date: December 10, 2002